

No. /2025/AAS/NQ-ĐHĐCĐ

Hanoi, March, 2025

DRAFT

RESOLUTION
ANNUAL GENERAL MEETING OF SHAREHOLDERS IN 2025
SMART INVEST SECURITIES JOINT STOCK COMPANY

Pursuant to:

- Law on Enterprises No. 59/2020/QH14 passed by the 14th National Assembly of the Socialist Republic of Vietnam on June 17, 2020;
- Law on Securities No. 54/2019/QH14, passed by the 14th National Assembly of the Socialist Republic of Vietnam on November 26, 2019;
- Charter of Smart Invest Securities Joint Stock Company ("Company's Charter");
- The Meeting Minutes No. .../2025/AAS/BB-ĐHĐCĐ dated March, 2025 of the annual general meeting of shareholders of Smart Invest Securities Joint Stock Company.

The General Meeting of Shareholders has unanimously resolved to adopt this Resolution with the following key contents:

RESOLVED THAT

Article 1: Approval of Report on operation of the Board of Directors in 2024

Article 2: Approval of Report on operation of the Board of Supervisors in 2024

Article 3: Approval of Submission No. 01/2025/TTr- HDQT regarding the approval of the 2024 audited financial statements

General Meeting unanimously approved the 2024 audited financial statements audited by International Auditing and Valuation Company Limited.

Article 4: Approval of Submission No. 02/2025/TTr-HĐQT regarding the approval of the 2025 business plan, as follows:

No.	Indicators	2024 (VND)	2025 Target (VND)	2025 Target/2024 Actual Results
1	Charter Capital	2,299,995,210,000	2,299,995,210,000	0.00%
2	Operating Revenue	409,821,494,557	520,000,000,000	12.68%
3	Earning Before Tax	103,096,132,685	115,000,000,000	11.55%
4	Earning After Tax	73,661,409,934	82,000,000,000	11.32%
5	Dividend	0	5%	-

Article 5: Approval of Submission No. 03/2025/TTr-BKS regarding the selection of independent auditing company in 2025

1. Approval of the selection of independent auditing company for 2025 financial statements from the following list:

- International Auditing and Valuation Company Limited;
 - An Viet Auditing Company Limited;
 - UHY Auditing and Consulting Company Limited;
 - AASC Auditing Firm Company Limited.
2. In case the aforementioned auditing companies are deemed unsuitable, the Board of Supervisors respectfully requests the General Meeting of Shareholders to authorize the Board of Directors to select another auditing firm from the list of companies approved by the State Securities Commission (SSC) for 2025, concerning Smart Invest Securities Joint Stock Company.

Article 6: Approval of Submission No. 04/2025/TTr-HĐQT regarding the profit distribution in 2024

General Meeting unanimously approved the profit distribution in 2024, as follows:

No.	Contents	Value (VND)
I	Retained earnings from previous years	188,816,185,475
1	Retained earnings from previous years	115,538,775,541
2	Appropriation of Remuneration for the Board of Directors and the Board of Supervisors for 2023	(384,000,000)
3	2024	73,661,409,934
II	Profit Distribution Plan	(384.000.000)
1	Stock dividend for 2023 (4% of charter capital)	0
2	Appropriation of Remuneration for the Board of Directors and the Board of Supervisors for 2024	(384.000.000)
3	Stock dividend for 2024	0
III	Estimated Retained Earnings	188.432.185.475

Article 7: Approval of Submission No. 05/2025/TTr-HĐQT regarding the remuneration in 2024 and proposed plan in 2025 for the Board of Directors and Board of Supervisors

General Meeting unanimously approved the remuneration in 2024 and proposed plan in 2025 for the Board of Directors and Board of Supervisors, as follows:

1. Remuneration in 2024:

The Company has paid remuneration to the Board of Directors and the Board of Supervisors members as follows:

- Chairperson – Vice Chairperson of the Board: VND 120,000,000 /person/year;
- Executive member of the Board of Directors: VND 36,000,000 /person/year;
- Independent Member of the Board of Directors: VND 24,000,000 /person/year;
- Head of the Board of Supervisors: VND 24,000,000 /person/year;
- Member of the Board of Supervisors: VND 12,000,000 /person/year.

2. Remuneration plan for 2025:

The Company plans to pay remuneration to the Board of Directors and the Board of Supervisors members as follows:

- Chairperson – Vice Chairperson of the Board: VND 120,000,000 /person/year;
- Executive member of the Board of Directors: VND 36,000,000 /person/year;

- Independent Member of the Board of Directors: VND 24,000,000 /person/year;
- Head of the Board of Supervisors: VND 24,000,000 /person/year;
- Member of the Board of Supervisors: VND 12,000,000 /person/year.

Article 8: Approval of Submission No. 06/2025/TTr-HĐQT regarding the matters to be reported to the GMS

The detailed contents of the submission are attached to this Resolution.

Article 9: Effectiveness

This Resolution shall take effect on the issued date. The Board of Directors, Executive Board, and relevant departments are responsible for implementing this Resolution.

Recipients:

- *Shareholders, Company Website;*
- *Members of the BOD, Executive Board, and BOS;*
- *HR/Admin.*

**ON BEHALF OF
THE GENERAL MEETING OF SHAREHOLDERS
CHAIRWOMAN OF THE BOARD OF DIRECTORS**

Ngo Thi Thuy Linh